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Paper No. 8

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**FEB 08 2001**

**OFFICE OF PETITIONS  
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In re Application of  
Richard K. Ryan et al.  
Application No. 09/606,495  
Filed: June 29, 2000  
For: Method for Managing a Financial  
Security

:  
:  
: DECISION ACCORDING STATUS  
: UNDER 37 CFR 1.47(a)  
:

This is in response to the renewed "Petition Under 37 CFR 1.47(a)," filed December 11, 2000.

The petition is granted.


Petitioner has shown that the non-signing inventors have refused to join in the filing of the above-identified application.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventors at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

After the mailing of this decision, the file will be forwarded to Office of Initial Patent Examination for preexamination processing.

Telephone inquiries regarding this decision should be directed to Latrice Bond at (703) 308-6911.

  
Frances Hicks  
Lead Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy



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Brandt T. Brock  
6345 S. W. South Pointe Drive  
Auburn, Kansas 66402

In re Application of  
Richard K. Ryan, Brandt T. Brock, Gregory J. Garvin, Stephane Goyer, Brenda M. Harwood, Amy Jo Lee,  
Mary E. Nestor and Karen S. Plush  
Application No. 09/606,495  
Filed: June 29, 2000  
For: Method for Managing a Financial Security

Dear Mr. Brock:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 118 (United States Code), and 37 CFR 1.47(a), Rules Practice in Patent Cases. Should a patent be granted on the application you will designated therein as a joint inventor.

As a named inventor, you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any patent thereof (at a prepaid cost per 37 CFR 1.19) or make your position or record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. Joining in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Latrice Bond at (703) 308-6911. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-6911. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).

Frances Hicks  
Lead Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

cc: Penny R. Slicer  
Stinson Mag & Fizzell  
1201 Walnut Street, Suite 2800  
PO Box 419251  
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Richard K. Ryan  
260 W. 113th Street  
Carbondale, Kansas 66414

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Richard K. Ryan, Brandt T. Brock, Gregory J. Garvin, Stephane Goyer, Brenda M. Harwood, Amy Jo Lee,  
Mary E. Nestor and Karen S. Plush  
Application No. 09/606,495  
Filed: June 29, 2000  
For: Method for Managing a Financial Security

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